

## GENERALIZED SKEW DERIVATIONS ON LIE IDEALS

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### Abstract

Let  $R$  be a prime ring with center  $Z(R)$ ,  $C$  its extended centroid,  $L$  a noncentral Lie ideal of  $R$  and  $n, m \geq 1$  fixed integers. Suppose that  $F$  is a nonzero generalized skew derivation of  $R$  such that  $F(u^n)u^m \in Z(R)$ , for all  $u \in L$ . Then  $\dim_C RC = 4$ .

### 1. Introduction

Let  $R$  be a prime ring with center  $Z(R)$ , extended centroid  $C$ , and right Martindale quotient ring  $Q_r$ . We mean by a derivation of  $R$  an additive map  $d$  from  $R$  into itself which satisfies the rule  $d(xy) = d(x)y + xd(y)$  for all  $x, y \in R$ . An additive map  $g : R \rightarrow R$  is called a generalized derivation of  $R$  if there exists a derivation  $d$  of  $R$  such that  $g(xy) = g(x)y + xd(y)$ , for all  $x, y \in R$ .

In [17] Lee and Shiue showed that if  $R$  is a non-commutative prime ring,  $I$  a nonzero left ideal of  $R$  and  $d$  is a derivation of  $R$  such that  $[d(x^m)x^n, x^r]_k = 0$  for all  $x \in I$ , where  $k, m, n, r$  are fixed positive integers, then  $d = 0$  unless  $R \cong M_2(GF(2))$ . Later in [1] Argaç and Demir proved the following result: Let  $R$  be a non-commutative prime ring,  $I$  a nonzero left ideal of  $R$  and  $k, m, n, r$  fixed positive integers. If there exists a generalized derivation  $g$  of  $R$  such that  $[g(x^m)x^n, x^r]_k = 0$  for all  $x \in I$ , then there exists  $a \in U$ , the left Utumi quotient ring of  $R$ , such that  $g(x) = xa$  for all  $x \in R$ , except when  $R \cong M_2(GF(2))$  and  $I[I, I] = 0$ .

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Here we would like to continue on this line of investigation by considering generalized skew derivations defined on  $R$ . The definition of generalized skew derivation is a unified notion of skew derivation and generalized derivation, which are considered as classical additive mappings of non-associative algebras, have been investigated by many people from various views. Let  $R$  be an associative ring and  $\alpha$  be an automorphism of  $R$ . An additive mapping  $d : R \rightarrow R$  is said to be a *skew derivation* of  $R$  if

$$d(xy) = d(x)y + \alpha(x)d(y)$$

for all  $x, y \in R$  and  $\alpha$  is called an *associated automorphism* of  $d$ . An additive mapping  $F : R \rightarrow R$  is said to be a (right) *generalized skew derivation* of  $R$  if there exists a skew derivation  $d$  of  $R$  with associated automorphism  $\alpha$  such that

$$F(xy) = F(x)y + \alpha(x)d(y)$$

for all  $x, y \in R$ ,  $d$  is called an *associated skew derivation* of  $F$  and  $\alpha$  is called an *associated automorphism* of  $F$ .

We will prove:

**Theorem 1.** *Let  $R$  be a prime ring with center  $Z(R)$ ,  $C$  its extended centroid,  $L$  a noncentral Lie ideal of  $R$  and  $n, m \geq 1$  fixed integers. Suppose that  $F$  is a nonzero generalized skew derivation of  $R$  such that  $F(u^n)u^m \in Z(R)$ , for all  $u \in L$ . Then  $\dim_C RC = 4$ .*

In all that follows let  $Q_r$  be the right Martindale quotient ring,  $Q$  be the two-sided Martindale quotient ring of  $R$  and  $C = Z(Q) = Z(Q_r)$  the center of  $Q$  and  $Q_r$ ,  $T = Q *_C C\{X\}$  the free product over  $C$  of the  $C$ -algebra  $Q$  and the free  $C$ -algebra  $C\{X\}$ , with  $X$  the countable set consisting of non-commuting indeterminates  $x_1, x_2, \dots, x_n, \dots$ . We refer the reader to [2] for the definitions and the related properties of these objects. Of course  $Q$  is a prime centrally closed  $C$ -algebra.

Moreover let  $s_4$  be the standard polynomial of degree 4, in non-commuting variables  $x_1, x_2, x_3, x_4$ .

It is known that automorphisms, derivations and skew derivations of  $R$  can be extended both to  $Q$  and  $Q_r$ . In [4] (Lemma 2), J.C. Chang extended the definition of a generalized skew derivation to the right Martindale quotient ring  $Q_r$  of  $R$  as follows: by a (right) generalized skew

derivation we mean an additive mapping  $F : Q_r \rightarrow Q_r$  such that  $F(xy) = F(x)y + \alpha(x)d(y)$ , for all  $x, y \in Q$ , where  $d$  is a skew derivation of  $R$  and  $\alpha$  is an automorphism of  $R$ , moreover there exists  $F(1) = a \in Q_r$  such that  $F(x) = ax + d(x)$ , for all  $x \in R$ . Moreover if  $F(1) \in Q$ , then  $F$  can be extended to  $Q$ .

Before starting with our proof, we also state the following well known result, which will be useful in the sequel:

**Fact 1.1.** *Let  $R$  be a prime ring and  $L$  a noncentral Lie ideal of  $R$ . Then either  $\text{char}(R) = 2$  and  $\dim_C RC = 4$ , or there exists a noncentral two-sided ideal  $I$  of  $R$  such that  $0 \neq [I, R] \subseteq L$ .*

**Proof.** If  $\text{char}(R) \neq 2$ , the result is contained in Lemma 2 of [3]. In case  $\text{char}(R) = 2$  it follows from Theorem 4 of [15] and Lemma 2 of [10].  $\square$

## 2. The Case of Inner Generalized Skew Derivations

In this section we consider the case when  $F$  is an inner generalized skew derivation induced by the elements  $b, c \in R$  and  $\alpha \in \text{Aut}(R)$ , that is  $F(x) = bx + \alpha(x)c$ , for all  $x \in R$ . In this sense, our aim will be to prove the following:

**Proposition 2.1.** *Let  $R$  be a prime ring,  $I$  a noncentral two-sided ideal of  $R$ ,  $n, m \geq 1$  fixed integers,  $b, c$  nonzero elements of  $R$ , and  $\alpha \in \text{Aut}(R)$  such that  $(b[r_1, r_2]^n + \alpha([r_1, r_2]^n)c)[r_1, r_2]^m \in Z(R)$ , for all  $r_1, r_2 \in I$ , then  $\dim_C RC = 4$ .*

We begin with:

**Fact 2.2.** *Let  $R$  be a non-commutative prime ring and  $s \geq 1$  be a fixed integer such that  $[r_1, r_2]^s \in Z(R)$ , for all  $r_1, r_2 \in R$ . Then  $\dim_C RC = 4$ .*

**Proof.** The result is implicitly contained in Theorem 4 of [13].  $\square$

**Lemma 2.3.** *Let  $R$  be a prime ring,  $I$  a noncentral two-sided ideal of  $R$ ,  $a, b \in R$ ,  $n, m \geq 1$  fixed integer, such that  $(au^n + u^nb)u^m \in Z(R)$ , for all  $u \in [I, I]$ , then either  $a = -b \in Z(R)$  or  $\dim_C RC = 4$ .*

**Proof.** By our assumption we have that  $(a[r_1, r_2]^n + [r_1, r_2]^n b)[r_1, r_2]^m \in Z(R)$  for all  $r_1, r_2 \in I$ . Moreover  $I$  and  $R$  and  $Q_r$  satisfy the same generalized polynomial identities (see [5]), thus  $(a[r_1, r_2]^n + [r_1, r_2]^n b)[r_1, r_2]^m \in C$  for all  $r_1, r_2 \in Q_r$ . Hence we assume that  $Q_r$  satisfies the following generalized polynomial identity

$$P(x_1, x_2, x_3) = [(a[x_1, x_2]^n + [x_1, x_2]^n b)[x_1, x_2]^m, x_3] \quad (2.1)$$

and  $P(x_1, x_2, x_3)$  is a generalized polynomial in the free product  $Q_r *_C C\{x_1, x_2, x_3\}$  of the  $C$ -algebra  $Q_r$  and the free  $C$ -algebra  $C\{x_1, x_2, x_3\}$ .

**2.1. Step 1: Here we prove that either  $P(x_1, x_2, x_3)$  is a non-trivial generalized polynomial identity for  $R$ , or  $a = -b \in C$ .**

Let  $T = Q_r *_C C\{x_1, x_2, x_3\}$ . For brevity we write  $P(X)$  instead of  $P(x_1, x_2, x_3)$  and  $f(X)$  instead of  $[x_1, x_2]$ .

Now suppose that  $P(X) \in Q_r *_C C\{X\}$  is a trivial generalized polynomial identity for  $Q_r$ , that is

$$P(X) = [(af(X)^n + f(X)^n b)f(X)^m, x_3] = 0 \in T.$$

Suppose that  $\{a, 1\}$  are linearly  $C$ -independent. By [5], it follows  $af(X)^{n+m}x_3 = 0 \in T$  which is a contradiction, since we suppose  $a \notin C$ . Therefore  $\{a, 1\}$  must be linearly  $C$ -dependent, that is  $a \in C$  and

$$P(X) = [f(X)^n(a + b)f(X)^m, x_3] = 0 \in T.$$

Since  $P(X)$  is trivial, again by [5], we have  $a + b = 0$  and the conclusion follows.

Therefore in all that follows we assume that  $a \notin C$  and  $Q_r$  satisfies the non-trivial generalized polynomial identity  $P(x_1, x_2, x_3)$ . In case  $C$  is infinite, we have  $P(r_1, r_2, r_3) = 0$  for all  $r_1, r_2, r_3 \in Q_r \otimes_C \overline{C}$ , where  $\overline{C}$  is the algebraic closure of  $C$ . Since both  $Q_r$  and  $Q_r \otimes_C \overline{C}$  are centrally closed (theorems 2.5 and 3.5 in [11]) we may replace  $R$  by  $Q_r$  or  $Q_r \otimes_C \overline{C}$  according as  $C$  is finite or infinite. Thus, without loss of generality, we may consider the case when  $R$  is centrally closed over  $C$  which is either finite or algebraically closed and  $P(r_1, r_2, r_3) = 0$ , for all  $r_1, r_2, r_3 \in R$ . By Martindale's theorem

[18] ,  $R$  is a primitive ring having a nonzero socle with  $C$  as the associated division ring. In light of Jacobson's theorem (p. 75 in [12])  $R$  is isomorphic to a dense ring of linear transformations on some vector space  $V$  over  $C$ .

## 2.2. Step 2: We prove that $\dim_C V \leq 2$

Suppose by contradiction that  $\dim_C V \geq 3$ . Of course under this assumption,  $R$  cannot satisfy the standard identity  $s_4$ . Suppose first that  $\dim_C V = l \geq 3$  is a finite integer, so that we may assume  $Q_r = M_l(C)$ , the ring of all  $l \times l$  matrices over  $C$ . Denote  $e_{ij}$  the usual matrix unit, with 1 in the  $i, j$ -entry and zero elsewhere and let  $[r_1, r_2] = [e_{ij}, e_{ji}] = e_{ii} - e_{jj}$ , for any  $j \neq i$ . Therefore, by (2.1) and for  $x_3 = e_{kk}$ , with  $k \neq i, j$ , we have that

$$0 = [(a(e_{ii} - e_{jj})^n + (e_{ii} - e_{jj})^n b)(e_{ii} - e_{jj})^m, e_{kk}] = -e_{kk} a (e_{ii} - e_{jj})^{m+n} \quad (2.2)$$

that is  $a$  is a diagonal matrix in  $M_l(C)$ . Recall that for any  $\sigma \in \text{Aut}(M_l(C))$ ,  $M_l(C)$  satisfies

$$[(\sigma(a)[x_1, x_2]^n + [x_1, x_2]^n \sigma(b))[x_1, x_2]^m, x_3] \quad (2.3)$$

therefore  $\sigma(a)$  is again a diagonal matrix. In particular we introduce some suitable automorphisms of  $M_l(C)$ . More precisely, let  $i \neq j$  and

$$\lambda(x) = (1 + e_{ij})x(1 - e_{ij}) = x + e_{ij}x - xe_{ij} - e_{ij}xe_{ij}.$$

Hence  $a + e_{ij}a - ae_{ij} - e_{ij}ae_{ij}$  is diagonal, that is the  $(i, i)$ -entry of  $a$  is equal to the  $(j, j)$ -one, which implies that  $a$  is a central matrix in  $M_l(C)$ . Thus  $Q_r$  satisfies

$$P(x_1, x_2, x_3) = [[x_1, x_2]^n c [x_1, x_2]^m, x_3]$$

where  $c = a + b$ . In case  $c \in C$  we get  $a, b \in C$  and  $Q_r$  satisfies  $c[x_1, x_2]^{n+m} \in C$ . Since  $Q_r$  does not satisfy  $s_4$  and by Fact 2.2, we have that  $c = 0$ , that is  $a = -b \in C$ .

Hence we assume  $c \notin C$ , that is there exists  $v \in V$  such that  $v, cv$  are linearly  $C$ -independent. Moreover, since  $\dim_C V \geq 3$ , there exists  $w \in V$  such that  $v, cv, w$  are linearly  $C$ -independent. By the density of  $Q_r$ , there

exist  $r_1, r_2, r_3 \in Q_r$  such that

$$\begin{aligned} r_1v = 0, \quad r_2v = -w, \quad r_3v = 0, \quad r_1(cv) = -v, \\ r_2(cv) = 0, \quad r_1w = -v, \quad r_2w = v, \quad r_3w = -v. \end{aligned}$$

Thus

$$[r_1, r_2]v = v, \quad [r_1, r_2](cv) = -w, \quad [r_1, r_2]w = -w$$

and we get the contradiction

$$0 = [[r_1, r_2]^n c[r_1, r_2]^m, r_3]v = (-1)^n v \neq 0.$$

Assume now that  $\dim_C V = \infty$ . Suppose next that  $v$  and  $bv$  are linearly  $C$ -independent for some  $v \in V$ . There exist  $w, u \in V$  such that  $v, bv, w, u$  are linearly independent over  $C$ . By the density of  $R$  there exist  $x_1, x_2, x_3 \in R$  such that

$$\begin{aligned} x_1v = 0, \quad x_2v = bv, \quad x_1bv = v \\ x_3w = v \\ x_1w = w, \quad x_2w = w \\ x_2bv = u, \quad x_1u = bv. \end{aligned}$$

Then

$$\begin{aligned} [x_1, x_2]v &= (x_1x_2 - x_2x_1)v = v \\ [x_1, x_2]w &= (x_1x_2 - x_2x_1)w = 0 \end{aligned}$$

and

$$[x_1, x_2]bv = (x_1x_2 - x_2x_1)bv = 0.$$

Hence by (2.1)

$$0 = [(a[x_1, x_2]^n + [x_1, x_2]^n b)[x_1, x_2]^m, x_3]w = av.$$

Let  $w \in V$  be such that  $aw \neq 0$ . Then  $a(v - w) = -aw \neq 0$ . Then by above argument  $w, bw$  are linearly  $C$ -dependent and  $v - w, b(v - w)$  too. Therefore, there exist  $\alpha, \beta \in C$  such that  $bw = \alpha w$  and  $b(v - w) = \beta(v - w)$ . This gives  $bv = \beta(v - w) + bw = \beta(v - w) + \alpha w$  that is  $(\alpha - \beta)w = bv - \beta v$ . Now,  $\alpha = \beta$  implies  $bv, v$  are linearly  $C$ -dependent, a contradiction. Hence  $\alpha \neq \beta$  and so  $w \in \text{Span}_C\{v, bv\}$ .

Finally consider  $u \in V$  such that  $au = 0$ . In this case,  $p(u + w) = pu + pw = pw \neq 0$  and then by previous argument,  $u + w \in \text{Span}_C\{v, bv\}$ . Since  $w \in \text{Span}_C\{v, bv\}$ , then also  $u \in \text{Span}_C\{v, bv\}$ .

As a consequence of the above two cases, we get  $V = \text{Span}_C\{v, bv\}$  that is  $\dim_C V = 2$ , a contradiction. This implies that  $v$  and  $bv$  are linearly  $C$ -dependent for all  $v \in V$ . Thus for each  $v \in V$ ,  $bv = \alpha_v v$  for some  $\alpha_v \in C$ . By using standard argument, it is easy to prove that  $\alpha_v$  is independent of the choice of  $v \in V$  and hence we can write  $bv = \alpha v$  for all  $v \in V$  and for a fixed  $\alpha \in C$ . Now let  $r \in R$  and  $v \in V$ . Since  $bv = \alpha v$ , it follows

$$[b, r]v = (br)v - (rb)v = b(rv) - r(bv) = \alpha(rv) - r(\alpha v) = 0.$$

Thus  $[b, r]v = 0$  for all  $v \in V$  i.e.,  $[b, r]V = 0$ . Since  $[b, r]$  acts faithfully as a linear transformation on the vector space  $V$ ,  $[b, r] = 0$  for all  $r \in R$ . Therefore,  $b \in C$ . Hence (2.1) reduces to  $(a + b)[x_1, x_2]^{m+n} \in C$ .

Denote  $c = a + b$ . As above, in case  $c \in C$  we easily get  $a = -b \in C$ .

Hence we assume  $a + b = c \notin C$ , that is there exists  $v \in V$  such that  $v, cv$  are linearly  $C$ -independent. Moreover, since  $\dim_C V = \infty$ , there exist  $w, u \in V$  such that  $v, cv, w, u$  are linearly  $C$ -independent. By the density of  $Q_r$ , there exist  $r_1, r_2, r_3 \in Q_r$  such that

$$\begin{aligned} r_1 w &= w, & r_2 w &= w \\ r_3 w &= v \\ r_1 v &= 0, & r_2 v &= u, & r_1 u &= v. \end{aligned}$$

Thus

$$[r_1, r_2]v = v, \quad [r_1, r_2]w = 0$$

and we get the contradiction

$$0 = [c[r_1, r_2]^{n+m}, r_3]w = cv \neq 0.$$

Therefore  $\dim_C V \leq 2$  and  $R$  is a noncommutative prime ring satisfying the standard identity of degree 4, which implies that  $\dim_C RC = 4$ .  $\square$

**Lemma 2.4.** *Let  $R$  be a dense subring of the ring of linear transformations of a vector space  $V$  over a division ring  $D$ , and let  $R$  contain nonzero linear transformations of finite rank. Let  $I$  be a noncentral two-sided ideal of  $R$ ,*

$n, m \geq 1$  fixed integers,  $\alpha$  be an automorphism of  $R$  and suppose  $b, c \in R$  and  $F(x) = bx + \alpha(x)c$  such that  $F(x^n)x^m \in Z(R)$ , for all  $x \in [I, I]$ . If  $F \neq 0$  and  $R$  does not satisfy  $s_4$ , then  $\dim_D V \leq 2$ .

**Proof.** We assume  $\dim_D V \geq 3$  and prove that a number of contradictions follows.

Since  $R$  is a primitive ring with nonzero socle, by [12] (p.79) there exists a semi-linear automorphism  $T \in \text{End}(V)$  such that  $\alpha(x) = TxT^{-1}$  for all  $x \in R$ , hence  $(bx^n + Tx^nT^{-1}c)x^m \in Z(R)$ , for all  $x \in [I, I]$ . Assume first that  $v$  and  $T^{-1}cv$  are  $D$ -dependent for all  $v \in V$ . By Lemma 1 in [8], there exists  $\lambda \in D$  such that  $T^{-1}cv = v\lambda$ , for all  $v \in V$ . In this case, for all  $x \in R$ ,

$$\begin{aligned} F(x)v &= (bx + TxT^{-1}c)v = bxv + TxT^{-1}cv = bxv + T(xv\lambda) \\ &= bxv + T((xv)\lambda) = bxv + T(T^{-1}c)(xv) = bxv + cxv = (b+c)xv. \end{aligned}$$

This means that  $(F(x) - (b+c)x)V = (0)$ , for all  $x \in R$  and since  $V$  is faithful, it follows that  $F(x) = (b+c)x$ , for all  $x \in R$ , and  $(b+c)x^n x^m \in Z(R)$ , for all  $x \in [I, I]$ . By Lemma 2.3 either  $R$  satisfies  $s_4$  or  $b+c=0$  and  $F=0$ , a contradiction again.

Thus there exists  $v_0 \in V$  such that  $v_0$  and  $T^{-1}cv_0$  are linearly  $D$ -independent. Since  $\dim_D V \geq 3$ , then there exists  $w \in V$  such that  $w, v_0$  and  $T^{-1}cv_0$  are linearly  $D$ -independent (denote for clearness  $T^{-1}cv_0 = u$ ). By the density of  $R$ , there exist  $r_1, r_2, r_3 \in I$  such that

$$r_1v_0 = w, r_1w = v_0, r_1u = w, r_2v_0 = w, r_2w = 0, r_2u = 0, r_3u = v_0.$$

Thus

$$[r_1, r_2]u = 0, \quad [r_1, r_2]v_0 = v_0$$

and

$$0 = [(b[r_1, r_2]^n + T[r_1, r_2]^nT^{-1}c)[r_1, r_2]^m, r_3]u = bv_0.$$

Since  $v_0+w$  is  $D$ -independent of  $v_0$  and  $u$ , in the same way we get  $b(v_0+w) = 0$ , that is  $bw = 0$ . Analogously,  $u+w$  is  $D$ -independent of  $v_0$  and  $u$ , and  $b(u+w) = 0$  implies  $bu = 0$ . Therefore  $bV = (0)$  and so  $b = 0$ .

Hence  $[Tx^nT^{-1}cx^m, r_3] = 0$ , for all  $x \in [I, I]$ ,  $r_3 \in R$ . As above, by the density of  $R$  there exist  $s_1, s_2, s_3 \in I$ , such that

$$s_1v_0 = w, s_1w = w, s_1u = v_0, s_2v_0 = u, s_2w = 0, r_2u = 0, s_3w = v_0.$$



Thus

$$[s_1, s_2]v_0 = v_0, \quad [s_1, s_2]w = 0, \quad [s_1, s_2]u = -u$$

and

$$0 = [T[s_1, s_2]^n(T^{-1}c)[s_1, s_2]^m, s_3]w = (-1)^n cv_0.$$

Following the same above argument, we get  $c = 0$ . Therefore we have the contradiction  $F = 0$ .  $\square$

### 2.3. Proof of Proposition 2.1

Suppose first that  $\alpha$  is  $X$ -inner. Thus there exists an invertible element  $q \in Q_r$  such that  $\alpha(x) = q x q^{-1}$ , for all  $x \in R$ . Thus  $(bu^n + qu^n q^{-1}c)u^m \in Z(R)$ , for all  $u \in [I, I]$ . Since  $I$ ,  $R$  and  $Q_r$  satisfy the same generalized polynomial identities with coefficients in  $Q_r$  (see [5]), it follows that  $(bu^n + qu^n q^{-1}c)u^m \in Z(R)$ , for all  $u \in [Q_r, Q_r]$ . If  $q^{-1}c \in C = Z(Q_r)$ , then  $F(x) = (b+c)x$ , for all  $x \in R$  and  $(b+c)u^n u^m \in Z(R)$ , for all  $u \in [Q_r, Q_r]$ . Again by Lemma 2.3 either  $R$  satisfies  $s_4$  or  $b+c=0$  and  $F=0$ , a contradiction. So we may assume that  $q^{-1}c \notin C$ , and

$$[(b[x_1, x_2]^n + q[x_1, x_2]^n q^{-1}c)[x_1, x_2]^m, x_3] \quad (2.4)$$

is a non-trivial generalized polynomial identity for  $Q_r$ . By Martindale's theorem [18],  $Q_r$  is isomorphic to a dense subring of the ring of linear transformations of a vector space  $V$  over  $D$ , where  $D$  is a finite dimensional division ring over  $C$ . By Lemma 2.4 we have that either  $\dim_C RC = 4$  or  $\dim_D V \leq 2$ . In this last case it follows that either  $Q_r \cong D$  or  $Q_r \cong M_2(D)$ , the ring of  $2 \times 2$  matrices over  $D$ . More generally we assume  $Q_r \cong M_k(D)$ , for  $k \leq 2$ .

If  $C$  is finite, then  $D$  is a field by Wedderburn's Theorem. On the other hand, if  $C$  is infinite, let  $\overline{C}$  be the algebraic closure of  $C$ , then by the van der Monde determinant argument, we see that  $Q_r \otimes_C \overline{C}$  satisfies the same generalized polynomial identity (2.4). Moreover  $Q_r \otimes_C \overline{C} \cong M_k(D) \otimes_C \overline{C} \cong M_k(D \otimes_C \overline{C}) \cong M_t(\overline{C})$ , for some  $t \geq 1$ .

By using again the result in Lemma 2.4 and since  $Q_r$  is not commutative, we get  $t = 2$ . Hence  $R$  is an order in a 4-dimensional central simple algebra, as required.

Hence we may assume that  $\alpha$  is  $X$ -outer. By Theorem 1 in [6],  $Q_r$  satisfies

$$(b[x_1, x_2]^n + \alpha([x_1, x_2]^n)c)[x_1, x_2]^m \in C \quad (2.5)$$

moreover by Main Theorem in [6]  $Q_r$  is a GPI-ring. Thus  $Q_r$  is a primitive ring having nonzero socle and its associated division ring  $D$  is a finite-dimensional over  $C$ . If  $C$  is finite, then it follows that  $D$  is also finite. By Wedderburn's Theorem  $D$  is a field and by Lemma 2.4 we also have  $\dim_D V \leq 2$ . Hence from now on we assume that  $C$  is infinite.

If  $\alpha$  is not Frobenius, then by main Theorem in [7]  $Q_r$  satisfies

$$(b[x_1, x_2]^n + [y_1, y_2]^n c)[x_1, x_2]^m \in C$$

and in particular  $Q_r$  satisfies both

$$b[x_1, x_2]^{n+m} \in C \quad (2.6)$$

and

$$[y_1, y_2]^n c[x_1, x_2]^m \in C. \quad (2.7)$$

By applying Lemma 2.3 to (2.6) and (2.7) it follows that  $Q_r$  satisfies  $s_4$  (and also  $b, c \in C$ ).

On the other hand, if  $\alpha$  is Frobenius, then  $\text{char}(Q_r) = p > 0$  (if not  $\alpha(\lambda) = \lambda$  for all  $\lambda \in C$  and  $\alpha$  must be  $X$ -inner by Theorem 4.7.4 in [2]). Moreover  $\alpha(\lambda) = \lambda^{p^t}$  for all  $\lambda \in C$ , where  $t$  is some fixed integer, and there exists  $\mu \in C$  such that  $\mu^{p^t} \neq \mu$ . In (2.5) replace  $x_1$  by  $\lambda x_1$  and get  $\lambda^m (\lambda^n b[x_1, x_2]^n + \lambda^{np^t} \alpha([x_1, x_2]^n)c)[x_1, x_2]^m \in C$  that is

$$\lambda^n b[x_1, x_2]^n + \lambda^{np^t} \alpha([x_1, x_2]^n)c[x_1, x_2]^m \in C. \quad (2.8)$$

Comparing (2.5) with (2.8) it follows that  $Q_r$  satisfies

$$\alpha([x_1, x_2]^n)c[x_1, x_2]^m - \lambda^{n(p^t-1)} \alpha([x_1, x_2]^n)c[x_1, x_2]^m \in C. \quad (2.9)$$

Since (2.9) holds for all  $\lambda \in C$ , if we choose  $\lambda$  such that  $\lambda\mu^n = 1$ , then  $(\lambda^n)^{p^t} \neq \lambda^n$  and it follows from (2.9) that  $\alpha([x_1, x_2]^n)c[x_1, x_2]^m \in C$ . From this and (2.5) we also have  $b[x_1, x_2]^{n+m} \in C$ . As a consequence of Lemma 2.3,  $Q_r$  satisfies  $s_4$ , unless  $b = 0$ .

Thus, in the following we will consider  $b = 0$  and  $Q_r$  satisfies

$$\alpha([x_1, x_2]^n)c[x_1, x_2]^m \in C. \tag{2.10}$$

Again by Lemma 2.4, we get  $dim_D V \leq 2$ . Notice that if  $dim_D V = 1$ , then  $Q_r$  is a domain; moreover if  $Q_r$  is not commutative then both  $\alpha([x_1, x_2])$  and  $\alpha([x_1, x_2]^n)$  are not identities for  $Q_r$ . In this case, by (2.10) we have that

$$0 = [\alpha([x_1, x_2]^n)c[x_1, x_2]^m, \alpha([x_1, x_2])] = \alpha([x_1, x_2]^n)[c[x_1, x_2]^m, \alpha([x_1, x_2])].$$

Since  $Q_r$  is a domain, it follows that  $[c[x_1, x_2]^m, \alpha([x_1, x_2])]$  is an identity for  $Q_r$ . Moreover any  $\alpha(x_i)$ -word degree is 1, so that, by Theorem 3 in [7],  $Q_r$  satisfies the identity  $[c[x_1, x_2]^m, [y_1, y_2]]$ , that is  $c[x_1, x_2]^m \in C$ . Once again by Lemma 2.3 it follows either  $c = 0$ , which implies  $F = 0$ , or  $Q_r$  satisfies  $s_4$ .

Hence we now assume  $dim_D V = 2$  that is  $Q_r \cong M_2(D)$ , the ring of  $2 \times 2$  matrices over  $D$ .

Let  $h \neq k$  be any element of  $D$  such that  $[h, k] \neq 0$ , and choose in (2.10)

$$[r_1, r_2] = \left[ \left[ \begin{array}{cc} h & 0 \\ 0 & h \end{array} \right], \left[ \begin{array}{cc} k & 0 \\ 0 & k \end{array} \right] \right].$$

Moreover use the following notations:

$$c = \begin{bmatrix} c_{11} & c_{12} \\ c_{21} & c_{22} \end{bmatrix}, \quad \gamma = [h, k], \quad \alpha([r_1, r_2]^n) = \begin{bmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{bmatrix}.$$

Since by (2.10) we have  $[\alpha([r_1, r_2]^n)c[r_1, r_2]^m, e_{22}] = 0$ , by calculations it follows

$$\begin{bmatrix} 0 & (b_{11}c_{12} + b_{12}c_{22})\gamma^m \\ (b_{21}c_{11} + b_{22}c_{21})\gamma^m & 0 \end{bmatrix} = 0$$

which implies both  $b_{11}c_{12} + b_{12}c_{22} = 0$  and  $b_{21}c_{11} + b_{22}c_{21} = 0$ , that is

$$\alpha([r_1, r_2]^n)c = \begin{bmatrix} s_1 & 0 \\ 0 & s_2 \end{bmatrix} \text{ for suitable } s_1, s_2 \in D.$$

Starting from this, and using again (2.10), we also have

$$[\alpha([r_1, r_2]^n)c[r_1, r_2]^m, e_{12}] = 0 \text{ and by calculations we get } \begin{bmatrix} 0 & (s_1 - s_2)\gamma^m \\ 0 & 0 \end{bmatrix} =$$

0, which implies  $s_1 = s_2$ .

Finally for any  $s_3 \in D$  and from  $[\alpha([r_1, r_2]^n)c[r_1, r_2]^m, s_3e_{11} + s_3e_{22}] = 0$  we have  $\begin{bmatrix} [s_1, s_3]\gamma^m & 0 \\ 0 & [s_1, s_3]\gamma^m \end{bmatrix} = 0$ , which implies  $[s_1, s_3] = 0$ , that is  $s_1 \in Z(D)$  and  $\alpha([r_1, r_2]^n)c \in Z(M_2(D))$ .

In case  $\alpha([r_1, r_2]^n)c = 0$ , then also  $[r_1, r_2]^n\alpha^{-1}(c) = 0$ . If denote  $\alpha^{-1}(c) = \begin{bmatrix} c'_{11} & c'_{12} \\ c'_{21} & c'_{22} \end{bmatrix}$  this implies that

$$0 = [r_1, r_2]^n\alpha^{-1}(c) = \begin{bmatrix} \gamma^n c'_{11} & \gamma^n c'_{12} \\ \gamma^n c'_{21} & \gamma^n c'_{22} \end{bmatrix}$$

and since  $\gamma^n \neq 0$ , it follows  $\alpha^{-1}(c) = 0$  and also  $c = 0$ . In this case we conclude  $F = 0$ .

Thus we may assume that  $0 \neq \alpha([r_1, r_2]^n)c \in Z(M_2(D))$  and by (2.10) also  $[r_1, r_2]^m \in Z(M_2(D))$ .

Moreover by (2.10) we also have

$$[x_1, x_2]^n\alpha^{-1}(c)\alpha^{-1}([x_1, x_2]^m) \in C \quad (2.11)$$

and using the same above argument, one has that: if  $c \neq 0$  then  $[r_1, r_2]^n \in Z(M_2(D))$ .

All the previous argument says that: if  $h, k \in D$  and

$$[r_1, r_2] = \left[ \begin{bmatrix} h & 0 \\ 0 & h \end{bmatrix}, \begin{bmatrix} k & 0 \\ 0 & k \end{bmatrix} \right]$$

then either  $[h, k] = 0$  or both  $[r_1, r_2]^m \in Z(M_2(D))$  and  $[r_1, r_2]^n \in Z(M_2(D))$ . In particular, for  $[x_1, x_2] = [r_1, r_2]$  in (2.10), it follows  $0 \neq c \in C$ . Finally, by using again (2.10), we have that  $Q_r$  satisfies  $\alpha([x_1, x_2]^n)[x_1, x_2]^m \in C$ .

Moreover, since  $[h, k]$  is either zero or both  $[h, k]^n$  and  $[h, k]^m$  are central in  $D$ , for all  $h, k \in D$ , by Fact 2.2 it follows that  $D$  satisfies the standard identity  $s_4$ , that is  $[h, k]^2$  is central in  $D$  for all  $h, k \in D$ . Moreover, either  $D$  is commutative, or both  $n$  and  $m$  are even integers. Our aim is to prove that also in this case  $D$  must be commutative.

Suppose on the contrary that there exist  $h, k \in D$ , such that  $\gamma = [h, k] \neq 0$ . Let  $[he_{11}, ke_{11}] = \gamma e_{11} \in [Q_r, Q_r]$  and denote  $\alpha(\gamma^n e_{11}) = c_1 e_{11} + c_2 e_{12} + c_3 e_{21} + c_4 e_{22}$  (where  $c_i \in D$ ). By our hypothesis, it follows that  $\alpha(\gamma^n e_{11})(\gamma^m e_{11}) \in Z(Q_r)$ , and by calculations we get  $c_1 = c_3 = 0$ .

Analogously, if denote  $\alpha(\gamma^n e_{22}) = d_1 e_{11} + d_2 e_{12} + d_3 e_{21} + d_4 e_{22}$  (where  $d_i \in D$ ), and since  $\alpha(\gamma^n e_{22})(\gamma^m e_{22}) \in Z(Q_r)$ , it follows that  $d_2 = d_4 = 0$ . This implies that

$$\alpha(\gamma^n e_{11}) = \begin{bmatrix} 0 & c_2 \\ 0 & c_4 \end{bmatrix}, \quad \alpha(\gamma^n e_{22}) = \begin{bmatrix} d_1 & 0 \\ d_3 & 0 \end{bmatrix}.$$

Moreover, since  $n$  is even, we also have  $\alpha(\gamma^n e_{11} + \gamma^n e_{22}) \in Z(Q_r)$ , which implies  $c_2 = d_3 = 0$  and  $d_1 = c_4$ , so that we may write

$$\alpha(\gamma^n e_{11}) = \begin{bmatrix} 0 & 0 \\ 0 & \lambda \end{bmatrix}, \quad \alpha(\gamma^n e_{22}) = \begin{bmatrix} \lambda & 0 \\ 0 & 0 \end{bmatrix}, \quad \lambda \in D.$$

Let now  $[h(e_{12} + e_{22}), k(e_{12} + e_{22})] = \gamma(e_{12} + e_{22}) \in [Q_r, Q_r]$  and denote  $\alpha(\gamma^n(e_{12} + e_{22})) = t_1 e_{11} + t_2 e_{12} + t_3 e_{21} + t_4 e_{22}$  (where  $t_i \in D$ ). Therefore, by the hypothesis,

$$\alpha(\gamma^n(e_{12} + e_{22})) \cdot \gamma^m(e_{12} + e_{22}) = \begin{bmatrix} 0 & (t_1 + t_2)\gamma^m \\ 0 & (t_3 + t_4)\gamma^m \end{bmatrix} \in Z(Q_r)$$

which implies  $t_1 + t_2 = 0$  and  $t_3 + t_4 = 0$ , since  $\gamma \neq 0$ . Hence

$$\alpha(\gamma^n(e_{12} + e_{22})) = \begin{bmatrix} t_1 & -t_1 \\ t_3 & -t_3 \end{bmatrix}, \quad t_1, t_3 \in D \quad (2.12)$$

and this means that

$$\alpha(\gamma^n e_{12}) = \begin{bmatrix} t_1 & -t_1 \\ t_3 & -t_3 \end{bmatrix} - \alpha(\gamma^n e_{22}) = \begin{bmatrix} t_1 - \lambda & -t_1 \\ t_3 & -t_3 \end{bmatrix}. \quad (2.13)$$

On the other hand  $\alpha(\gamma^n e_{12}) = \alpha(\gamma^n e_{12} e_{22}) = \alpha(e_{12})\alpha(\gamma^n e_{22})$ . If denote  $\alpha(e_{12}) = p_1 e_{11} + p_2 e_{12} + p_3 e_{21} + p_4 e_{22}$  (where  $p_i \in D$ ), it follows

$$\alpha(\gamma^n e_{12}) = \begin{bmatrix} p_1 & p_2 \\ p_3 & p_4 \end{bmatrix} \cdot \begin{bmatrix} \lambda & 0 \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} p_1 \lambda & 0 \\ p_3 \lambda & 0 \end{bmatrix}. \quad (2.14)$$

Finally, by comparing (2.13) and (2.14) we get  $t_1 = t_3 = 0$ , that is, by (2.12),

$$\alpha(\gamma^n(e_{12} + e_{22})) = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$$

which is a contradiction if  $\gamma \neq 0$ .  $\square$

### 3. The Proof of Theorem 1

As remarked in the Introduction we can write  $F(x) = bx + d(x)$  for all  $x \in R$ ,  $b \in Q_r$  and  $d$  is a skew derivation of  $R$  (see [4]).

Since  $L$  is a noncentral Lie ideal, by Fact 1.1 we have that either  $\text{char}(R) = 2$  and  $\dim_C RC = 4$ , or there exists a noncentral two-sided ideal  $I$  of  $R$  such that  $[I, I] \subseteq L$ . In this last case we get that  $F(u^n)u^m \in Z(R)$ , for all  $u \in [I, I]$  for  $I$  a noncentral two-sided ideal of  $R$ . By Theorem 2 in [9]  $I$ ,  $R$  and  $Q_r$  satisfy the same generalized polynomial identities with a single skew derivation, then  $F(u^n)u^m \in C$ , for all  $u \in [Q_r, Q_r]$ . Suppose that  $d$  is  $X$ -inner, then there exist  $c \in Q_r$  and  $\alpha \in \text{Aut}(Q_r)$  such that  $d(x) = cx - \alpha(x)c$ , for all  $x \in R$ . In this case  $F(x) = (b + c)x - \alpha(x)c$  and by Proposition 2.1 it follows that  $Q_r$  satisfies  $s_4$  and  $\dim_C RC = 4$ .

Assume finally that  $d$  is  $X$ -outer. Since  $Q_r$  satisfies

$$(b[x_1, x_2]^n + d([x_1, x_2]^n))[x_1, x_2]^m \in C \quad (3.1)$$

and recalling that

$$d(x^n) = \sum_{i=0}^{n-1} \alpha(x^i)d(x)x^{n-i-1}$$

then  $Q_r$  satisfies

$$\begin{aligned} & b[x_1, x_2]^{n+m} + \left( \sum_{i=1}^{n-1} \alpha([x_1, x_2]^i) (d(x_1)x_2 + \alpha(x_1)d(x_2)) [x_1, x_2]^{n-i-1} \right) [x_1, x_2]^m \\ & + \left( \sum_{i=1}^{n-1} \alpha([x_1, x_2]^i) (-d(x_2)x_1 - \alpha(x_2)d(x_1)) [x_1, x_2]^{n-i-1} \right) [x_1, x_2]^m \in C. \quad (3.2) \end{aligned}$$

By Theorem 1 in [9] and (3.2),  $Q_r$  satisfies

$$b[x_1, x_2]^{n+m} + \sum_{i=1}^{n-1} \alpha([x_1, x_2]^i) \left( y_1 x_2 + \alpha(x_1) y_2 - y_2 x_1 - \alpha(x_2) y_1 \right) [x_1, x_2]^{n-i-1} [x_1, x_2]^m \in C. \quad (3.3)$$

For  $y_1 = y_2 = 0$  we have  $b[x_1, x_2]^{n+m} \in C$  and by Lemma 2.3 either  $\dim_C RC = 4$ , or  $b = 0$ . In this last case  $Q_r$  satisfies

$$\left( \sum_{i=1}^{n-1} \alpha([x_1, x_2]^i) (y_1 x_2 + \alpha(x_1) y_2 - y_2 x_1 - \alpha(x_2) y_1) \right) [x_1, x_2]^{n-i-1} [x_1, x_2]^m \in C. \quad (3.4)$$

Assume  $\alpha$  is X-outer. By Theorem 1 in [9] and (3.4) we have that  $Q_r$  satisfies

$$\left( \sum_{i=1}^{n-1} \alpha([t_1, t_2]^i) (y_1 x_2 + t_1 y_2 - y_2 x_1 - t_2 y_1) \right) [x_1, x_2]^{n-i-1} [x_1, x_2]^m \in C.$$

and in particular for  $t_1 = t_2 = 0$  and  $y_1 = x_1, y_2 = x_2$ , it satisfies  $[x_1, x_2]^{n+m} \in C$ , and  $\dim_C RC = 4$  follows from Fact 2.2.

Finally consider the case  $\alpha$  is X-inner, then there exists an invertible element  $q$  of  $Q_r$ , such that  $\alpha(x) = qxq^{-1}$ , for all  $x \in Q_r$ . Consider first the simplest case when  $q \in C$ , that is  $\alpha$  is the identity map on  $Q_r$  and  $d$  is an usual derivation of  $R$ . Then by (3.1) and  $b = 0$ ,  $Q_r$  satisfies the polynomial identity

$$\left[ \left( \sum_{i+j=n-1} [x_1, x_2]^i d([x_1, x_2])[x_1, x_2]^j \right) [x_1, x_2]^m, x_3 \right]$$

that is

$$\left[ \left( \sum_{i+j=n-1} [x_1, x_2]^i ([d(x_1), x_2] + [x_1, d(x_2)]) [x_1, x_2]^j \right) [x_1, x_2]^m, x_3 \right]$$

and since  $d$  is X-outer, by Kharchenko's result in [14],  $Q_r$  satisfies the identity

$$\left[ \left( \sum_{i+j=n-1} [x_1, x_2]^i ([y_1, x_2] + [x_1, y_2]) [x_1, x_2]^j \right) [x_1, x_2]^m, x_3 \right]$$

in particular it satisfies

$$\left[ \left( \sum_{i+j=n-1} [x_1, x_2]^i [y_1, x_2] [x_1, x_2]^j \right) [x_1, x_2]^m, x_3 \right]. \quad (3.5)$$

It is well known that in this situation there exists a suitable field  $K$  such that  $Q_r$  and the matrix ring  $M_t(K)$  satisfy the same polynomial identities. Then suppose  $t \geq 3$  and in (3.5) let  $x_1 = e_{12}$ ,  $x_2 = e_{21}$ ,  $y_1 = e_{32}$ ,  $x_3 = e_{13}$ . By calculation it follows from (3.5) the contradiction  $0 = e_{33}$ . Therefore  $t \leq 2$  and  $Q_r$  satisfies  $s_4$ . Moreover, since  $R$  is not commutative, then  $Q_r$  is also not commutative and  $t = 2$ , that is  $\dim_C RC = 4$ .

In light of this, we may consider  $q \notin C$ . From (3.4) and  $y_1 = 0$ ,  $Q_r$  satisfies

$$\left( \sum_{i=1}^{n-1} q [x_1, x_2]^i q^{-1} (q x_1 q^{-1} y_2 - y_2 x_1) [x_1, x_2]^{n-i-1} \right) [x_1, x_2]^m \in C.$$

and replacing  $y_2$  by  $qy_2$ , we have that  $Q_r$  satisfies

$$\left[ q \left( \sum_{i=1}^{n-1} [x_1, x_2]^i (x_1 y_2 - y_2 x_1) [x_1, x_2]^{n-i-1} \right) [x_1, x_2]^m, x_3 \right]. \quad (3.6)$$

Here we denote by  $g(x_1, x_2, y_2)$  the following polynomial

$$\left( \sum_{i=1}^{n-1} [x_1, x_2]^i (x_1 y_2 - y_2 x_1) [x_1, x_2]^{n-i-1} \right) [x_1, x_2]^m.$$

Hence the generalized polynomial identity  $[qg(x_1, x_2, y_2), x_3]$  is satisfied by  $Q_r$ . In particular, for  $x_3 = q$ , it follows that  $q[q, g(x_1, x_2, y_2)]$  is a generalized polynomial identity for  $Q_r$ . Moreover  $0 \neq q$  is an invertible element of  $Q_r$ , then  $Q_r$  satisfies  $[q, g(x_1, x_2, y_2)]$ . Therefore, by Theorem 6 in [16] and since  $q \notin C$ , we have that either  $\dim_C RC = 4$ , or the polynomial  $g(x_1, x_2, y_2)$  is central-valued on  $Q_r$ . In this last case

$$\left[ \left( \sum_{i=1}^{n-1} [r_1, r_2]^i (r_1 s_2 - s_2 r_1) [r_1, r_2]^{n-i-1} \right) [r_1, r_2]^m, r_3 \right] = 0 \quad (3.7)$$

for all  $r_1, r_2, r_3, s_2 \in Q_r$ . As above,  $Q_r$  is a PI-ring and there exists a suitable



field  $K$  such that  $Q_r$  and the matrix ring  $M_t(K)$  satisfy the same polynomial identities. Notice that, if  $t \geq 3$  and for  $[r_1, r_2] = [e_{12}, e_{21}] = e_{11} - e_{22}$ ,  $r_3 = e_{11}$  and  $s_2 = e_{31}$  in relation (3.7), it follows the contradiction  $e_{31} = 0$ . Hence  $t \leq 2$  and  $\dim_C RC = 4$ .  $\square$

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