

## CONTINUOUS DEGREES

BY

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**Abstract.** We study the class of continuous degrees. We show it is not a lattice and it possesses a reducible minimal element.

1. **Baire topology and continuity.** The hierarchy of total objects of finite type is defined as follows.

$T(0) = \omega$ , the set of natural numbers ;

$T(n + 1)$  = the set of all unary total functions  
from  $T(n)$  to  $\omega$ .

Because in this paper we only discuss objects of type less than 3, we follow the convention that small latin letters  $x, y, z, \dots$  denote natural numbers or sequences of natural numbers; small greek letters  $\alpha, \beta, \gamma, \dots$  denote type-1 objects; capital latin letters  $F, G, H, \dots$  denote type-2 objects. The study of recursion theory over such objects was initiated by Kleene [4]. Now we pay our attention to a subclass of type-2 objects which are continuous with respect to the Baire topology. We show that these objects do not form a lattice and they possess a reducible minimal element.

The Baire metric bestowed upon  $T(1)$  is defined as follows.

$$d(\alpha, \beta) = \begin{cases} 0 & \text{if } \alpha = \beta, \\ (\mu x(\alpha(x) \neq \beta(x)) + 1)^{-1} & \text{if } \alpha \neq \beta. \end{cases}$$

Under this metric  $d$ ,  $T(1)$  is a complete, separable, and totally disconnected space which is usually called the Baire space. If we equip  $\omega$  with the discrete topology, then every type-2 object becomes a mapping between two topological spaces. Accordingly, it makes sense to talk about type-2 continuous objects.

Now we introduce a few notations which will be used frequently.

Let  $s$  be a finite sequence of numbers, say,  $(s_0, s_1, \dots, s_k)$ . Then the interval  $[s]$  is defined as

$$[s] = \{\alpha : (\forall i \leq k) (\alpha(i) = s_i)\}.$$

If  $S$  is a set of finite sequences, then  $[S]$  means the set  $\cup \{[s] : s \in S\}$ . For any two objects  $\alpha^n$  and  $\beta^m$ ,  $\alpha^n \leq_T \beta^m$  means  $\alpha^n$  is recursive in  $\beta^m$ . Let  $\text{dg}(\alpha^n)$  denote the degree of  $\alpha^n$ ; then  $\alpha^n \leq_T \beta^m$  is equivalent to  $\text{dg}(\alpha^n) \leq \text{dg}(\beta^m)$ . When  $k$  is a fixed number,  $\alpha|k = (\alpha(0), \dots, \alpha(k-1))$  and  $\bar{\alpha}(k) = \langle \alpha(0), \dots, \alpha(k-1) \rangle$ . The jump  $\alpha'$  of  $\alpha$  is the representing function of the predicate  $J(x) \leftrightarrow (\{(x)_0\}^a \{(x)_i\})$  is defined). Given a number  $k$ ,  $\zeta_k$  is always the recursive function  $\lambda i(k)_i$ . Finally let the object  $E^2$  be defined as follows.

$$E^2(\alpha) = \begin{cases} 1 & \text{if } (\exists x) (\alpha(x) = 0), \\ 0 & \text{otherwise.} \end{cases}$$

The following proposition characterizes continuous objects in various ways.

**THEOREM 1.** *The following conditions are equivalent to each other for an object  $F$ .*

- (a)  $F$  is continuous.
- (b)  $(\forall \beta) (\exists s) (\beta \in [s] \text{ and } F \text{ stays constant on } [s])$ .
- (c) *There is an  $\alpha$  such that  $\alpha \leq_T F \leq_T \alpha'$ .*
- (d) *There is an  $\alpha$  such that  $F \leq_T \alpha$ .*
- (e)  $E^2$  is not recursive in  $F$  and a type-1 object.

**Proof.** (a)  $\rightarrow$  (b). Given  $\beta$ , let  $F(\beta) = y$ . Since  $F$  is continuous, there is a real number  $\epsilon$  such that  $(\forall \alpha) (d(\alpha, \beta) < \epsilon \rightarrow F(\alpha) = y)$ . Let  $k = \mu x ((x+1)^{-1} < \epsilon)$  and  $s = (\beta(0), \beta(1), \dots, \beta(k-1))$ .

(b)  $\rightarrow$  (c). Let  $\alpha = \lambda k F(\zeta_k)$ .  $\alpha$  is obviously recursive in  $F$ . Let  $R(n, y, z) \leftrightarrow (\forall k) (\zeta_k(n) = y \rightarrow \alpha(k) = z)$ . Then  $R$  is recursive in  $\alpha'$ . By (b)  $F$  stays constant on an initial interval of any  $\beta$ . It is easy to see actually

$$F(\beta) = (\mu x (R((x)_0, \bar{\beta}((x)_0), (x)_1)))_1.$$

So  $F$  is recursive in  $\alpha'$ .

(c)  $\rightarrow$  (d). This is trivial. This characterization was first obtained by Addison [1].

(d)  $\rightarrow$  (e). This is true because  $E^2$  is not recursive in any type-1 object.

(e)  $\rightarrow$  (a). This was first established in Grilliot [2].

A degree is said to be a *continuous degree* if it contains at least one continuous object.

REMARK. Characterization (c) is of special interest. We actually can establish the following generalization of Shoenfield's Limit Lemma [5, p. 29].

Let  $F(r) = \{e\}(\alpha', r)$  for all  $r$ . Then there exists a partial function  $G(s, r)$  recursive in  $\alpha$  such that  $\lim_{s \rightarrow \infty} G(s, r)$  exists for all  $r$  and  $\lim_{s \rightarrow \infty} G(s, r) = F(r)$ .

**Proof.** We adopt the convention that  $\{z\}_s(\alpha, x) = y$  means that we can compute  $\{z\}(\alpha, x) = y$  in at most  $s$  steps and all  $z, x, y \leq s$ . The relation  $\{z\}_s(\alpha, x) = y$  is recursive. Now let

$$\beta(s, x) = \begin{cases} 0 & \text{if } (\exists y \leq s) (\{(x)_0\}_s(\alpha, (x)_1) = y), \\ 1 & \text{otherwise.} \end{cases}$$

$\beta$  is recursive in  $\alpha$  and  $\alpha'(x) = \lim_{s \rightarrow \infty} \beta(s, x)$  for all  $x$ .

Define a recursive total function  $H$  such that

$$H(s, x, r) = \begin{cases} r(x) & \text{if } 0 \leq x < s, \\ 0 & \text{otherwise.} \end{cases}$$

Thus  $r(x) = \lim_{s \rightarrow \infty} H(s, x, r)$  for all  $r$ .

Finally we set  $G(s, r) \simeq \{e\}(\lambda x \beta(s, x), \lambda x H(s, x, r))$ .

Since both  $\beta$  and  $H$  are total,  $G$  is recursive in  $\alpha$ . To show  $\lim_{s \rightarrow \infty} G(s, r) = F(r)$ , we prove, for an arbitrary  $r$ , there is an  $n$  such that, for all  $k \geq n$ ,  $G(k, r)$  is defined and equal to  $F(r)$ .

There is a number  $t$  such that, given  $r$ , for all  $\delta$  and  $\eta$ ,

$$\delta \upharpoonright t = \alpha' \upharpoonright t \ \& \ \eta \upharpoonright t = r \upharpoonright t \longrightarrow \{e\}(\alpha', r) \simeq \{e\}(\delta, \eta).$$

Now fix  $i, 0 \leq i < t$ . We have two possibilities:

(a)  $\alpha'(i) = 0$ . Then there is an  $s_i$  such that  $\beta(k, i) = 0$  for all  $k \geq s_i$ .

(b)  $\alpha'(i) = 1$ . Then  $\beta(s, i) = 1$  for all  $s$ .

We let  $m$  be 0 if Case (a) does not appear for any  $i$ ; and let  $m$  be the largest number among those  $s_i$ 's if Case (a) does appear. Then  $\lambda x \beta(k, x) | t = \alpha' | t$  for all  $k \geq m$ .

On the other hand, it is obvious  $\lambda x H(k, x, r) | t = r | t$  for all  $k \geq t$ . So let  $n = \max \{m, t\}$ .  $k \geq n \rightarrow$

$$\begin{aligned} G(k, r) &\simeq \{e\}(\lambda x \beta(k, x), \lambda x H(k, x, r)) \\ &\simeq \{e\}(\alpha', r) \\ &\simeq F(r). \end{aligned}$$

**2. Upper-semi-lattice of continuous degrees.** For a continuous  $F$ , the value  $F(\alpha)$  is determined by a finite initial segment of  $\alpha$ . However, how long that segment should be is usually not computable from  $F$  and  $\alpha$ . This fact hinders, though it does not totally prohibit, the generalization of techniques used in dealing with type-1 degrees directly to continuous degrees. We are going to handle a couple of situations to illustrate some of the modifications needed to achieve "lift-up" results.

By the usual normal form theorem, we have a recursive total predicate  $T$  and a recursive total function  $U$  such that  $\{e\}(\alpha) \simeq U(\mu y T(\bar{\alpha}(y), e))$ . Let

$$\psi_e(z) = \begin{cases} U(lh(z)) + 1 & \text{if } T(z, e), \\ 0 & \text{otherwise.} \end{cases}$$

This leads to the following

**LEMMA 2.** *For any  $e$ , the recursive function  $\psi_e$  satisfies the condition that, if  $\{e\}(\alpha)$  is defined, we have*

- (a)  $(\exists x)(\psi_e(\bar{\alpha}(x)) > 0)$ ;
- (b)  $(\forall x)(\psi_e(\bar{\alpha}(x)) > 0 \rightarrow \psi_e(\bar{\alpha}(x)) = \{e\}(\alpha) + 1)$ .

It follows that, if  $\{e\}(\alpha)$  is defined, then  $\{e\}(\alpha) = \psi_e(\bar{\alpha}(x))$ , where  $x = \mu y(\psi_e(\bar{\alpha}(y)) > 0)$ . Therefore how long an initial segment of  $\alpha$  is needed to give the value of  $\{e\}(\alpha)$  is determined recursively. Thus, when the list  $\bar{a}$  contains only variables of types 0 and 1,  $\{e\}(\bar{a})$  can be computed in finitely many steps if  $\{e\}(\bar{a})$  is defined. This finiteness is essential in establishing the next theorem.

**THEOREM 3.** *If  $\alpha_0 \leq_T \alpha_1 \leq_T \dots$ , each  $\alpha_i$  has 0 and 1 as function values, and  $\alpha$  is any given type-1 object, then there exists a type-1 object  $\beta$  such that*

- (a)  $(\forall F)(F \leq_T \alpha \ \& \ F \leq_T \beta \rightarrow (\exists i)(F \leq_T \alpha_i))$ ;
- (b)  $(\forall i)(\alpha_i \leq_T \beta)$ .

**Proof.** Construct  $\beta$  as  $\bigcup_{i \in \omega} \theta_i$  where  $\theta_i$ 's are partial functions with recursive and coinfinite domains.

*Stage 0.* Let  $\theta_0$  be the empty function.

*Stage  $n + 1$ .* Assume that  $\theta_n$  is constructed. Let  $\theta_{n+1} = \theta_n$  unless  $n = \langle a, b \rangle$ . Now suppose  $n = \langle a, b \rangle$ . We have two cases.

*Case 1.*  $\lambda r \{a\}(\alpha, r)$  is not total.

Let  $\sigma_n$  be the empty function.

*Case 2.*  $\lambda r \{a\}(\alpha, r)$  is total. We have two subcases.

(A) There exists a partial function  $\tau$  satisfying the following: (i)  $\tau$  has 0 and 1 as function values; (ii)  $\text{dom}(\tau)$  is finite; (iii)  $\tau$  extends  $\sigma_n$ , and there exists  $\delta \in T(1)$  such that  $\{b\}(\tau, \delta)$  is defined and different from  $\{a\}(\alpha, \delta)$ .

Let  $\sigma_n = \tau$ .

(B) Otherwise.

Let  $\sigma_n$  be the empty function. Finally set

$$\theta_{n+1} = \theta_n \cup \sigma_n \cup \{ \langle \langle n, j \rangle, \alpha_n(j) \rangle : \langle n, j \rangle \notin \text{dom}(\theta_n) \cup \text{dom}(\sigma_n) \}.$$

Now we are going to show that the construction really works.

*Claim 1.* If  $n = \langle a, b \rangle$  and  $\lambda r \{a\}(\alpha, r) = \lambda r \{b\}(\beta, r) =$  a total object, then  $\lambda r \{a\}(\alpha, r) \leq_T \alpha_{n-1}$ .

Now assume both  $\lambda r \{a\}(\alpha, r)$  and  $\lambda r \{b\}(\beta, r)$  are total and equal. To compute  $\{a\}(\alpha, \delta)$  for any given  $\delta$ , search for  $\tau$  satisfying the following:

- (i)  $\tau$  has 0 and 1 as function values;
- (ii)  $\text{dom}(\tau)$  is finite;
- (iii)  $\tau$  extends  $\theta_n$ , and  $\{b\}(\tau, \delta)$  is defined. Then  $\{b\}(\tau, \delta) = \{b\}(\beta, \delta) = \{a\}(\alpha, \delta)$  by the assumption. Such a  $\tau$  exists because  $\lambda r \{b\}(\beta, r)$  is total and the computation of  $\{b\}(\beta, \delta)$  uses finitely many values of  $\beta$ . Then the computation of  $\{b\}(\tau, \delta)$  can be carried out in finitely many steps. It remains to show that  $\tau$  can be found recursively from  $\alpha_{n-1}$ . It suffices to show that the set  $\langle \langle \text{dom}(\tau) \rangle \rangle$ :

$\tau$  is a partial function having 0 and 1 as function values,  $\text{dom}(\tau)$  is finite, and  $\tau$  extends  $\theta_n$  is recursive in  $\alpha_{n-1}$ . Note that, for all but finitely many  $\langle i, j \rangle$ ,

$$\theta_n(\langle i, j \rangle) = \begin{cases} \alpha_i(j) & \text{if } i < n, \\ \text{undefined} & \text{otherwise.} \end{cases}$$

Since  $\alpha_0 \leq_T \alpha_1 \leq_T \dots \leq_T \alpha_{n-1}$ , the set in question is recursive in  $\alpha_{n-1}$ .

*Claim 2.*  $(\forall i)(\alpha_i \leq_T \beta)$ .

This is true because, for any  $i$ ,  $\alpha_i(j) = \beta(\langle i, j \rangle)$  for all sufficiently large  $j$ .

Each type-1 degree contains a function having only 0 and 1 as function values. So we can rephrase the above theorem in terms of degrees.

**THEOREM 4.** *Given type-1 degrees  $a, a_0, a_1, \dots$  such that  $a_0 \leq a_1 \leq \dots$ ; then there exists a type-1 degree  $b$  such that*

- (i)  $(\forall \text{ type-2 } c)(c \leq a \ \& \ c \leq b \rightarrow (\exists i)(c \leq a_i))$ ;
- (ii)  $(\forall i)(a_i \leq b)$ .

**THEOREM 5.** *No strictly ascending sequence of type-1 degrees has a type-2 degree as the least upper bound.*

**Proof.** Suppose  $a_0 < a_1 < \dots$  is a strictly ascending sequence of type-1 degrees. Let  $a$  be a type-1 upper bound of this sequence. Let  $b$  be as in Theorem 4. Suppose the type-2 degree  $c$  were the least upper bound. Then  $c \leq a$  and  $c \leq b$ . So  $(\exists i)(c \leq a_i < a_{i+1})$ .  $c$  could not be an upper bound.

**THEOREM 6.** *There exists a pair of type-1 degrees which has no type-2 degree as the greatest lower bound.*

**Proof.** Let  $a_i$  be the  $i$ -fold jump of 0 in the previous theorem. Then the pair  $a$  and  $b$  is such a pair.

An immediate consequence of Theorem 6 is

**THEOREM 7.** *Continuous degrees do not form a lattice.*

**REMARK.** Theorems 5 and 7 answer two questions raised at the end of Hinman [3].

3. **A reducible minimal degree.** Our next task is to construct a type-1 degree which is minimal among type-2 degrees.

A *string* is a finite initial segment of a representing function, i. e., a function with only 0 and 1 as function values. Let  $St$  be the set of strings. We use variables  $\sigma, \tau, \rho, \dots$  to range over  $St$ . If  $i = 0$  or  $1$ ,  $\sigma^{(i)}$  is the string obtained from  $\sigma$  by adding  $i$  at the end. Two strings  $\sigma$  and  $\tau$  are *incompatible* if there is an  $x$  such that  $\sigma(x)$  and  $\tau(x)$  are defined and different.

A *tree* is a recursive total function  $Tr$  from  $St$  to  $St$  such that, for all  $\sigma$ ,  $Tr(\sigma^{(0)})$  and  $Tr(\sigma^{(1)})$  are incompatible extensions of  $Tr(\sigma)$ . A tree  $Tr$  is a *subtree* of a tree  $Tr'$  if the range of  $Tr$  is included in the range of  $Tr'$ .

A type-1 object  $\beta$  is a *branch* of a tree  $Tr$  if (the range of  $Tr$ )  $\cap \{\sigma : \beta \text{ extends } \sigma\}$  is an infinite set, or equivalently  $(\forall n)(\exists \sigma)(lh(\sigma) = n \ \& \ \beta \text{ extends } Tr(\sigma))$ .

A tree  $Tr$  is called *n-constant* if whenever  $\{n\}(Tr(\tau), \alpha)$  and  $\{n\}(Tr(\sigma), \alpha)$  are defined, they are equal.

LEMMA 8. *If a tree  $Tr$  is n-constant,  $\lambda\alpha\{n\}(\beta, \alpha)$  is total, and  $\beta$  is a branch of  $Tr$ , then  $\lambda\alpha\{n\}(\beta, \alpha)$  is recursive.*

**Proof.** To compute  $\{n\}(\beta, \alpha)$  for a given  $\alpha$  look for any  $\sigma$  such that  $\{n\}(Tr(\sigma), \alpha)$  is defined. The computation of  $\{n\}(\beta, \alpha)$  uses finitely many values of  $\beta$ . So  $(\exists \tau)(\beta \text{ extends } \tau \ \& \ \{n\}(\tau, \alpha)$  is defined). Since  $\beta$  is a branch of  $Tr$ ,  $(\exists \rho)(Tr(\rho) \text{ extends } \tau)$ . Now  $\{n\}(Tr(\rho), \alpha) = \{n\}(\tau, \alpha) = \{n\}(\beta, \alpha)$ . But by the  $n$ -constancy of  $Tr$ ,  $\{n\}(Tr(\rho), \alpha) = \{n\}(Tr(\sigma), \alpha)$ . Since  $\sigma$  certainly can be found recursively and the computation of  $\{n\}(Tr(\sigma), \alpha)$  halts in finitely many steps,  $\lambda\alpha\{n\}(\beta, \alpha)$  is recursive.

A tree  $Tr$  is *n-splitting* if  $(\forall \sigma)(\exists \alpha)(\{n\}(Tr(\sigma^{(0)}), \alpha)$  and  $\{n\}(Tr(\sigma^{(1)}), \alpha)$  are defined and different).

LEMMA 9. *If a tree  $Tr$  is n-splitting,  $\lambda\alpha\{n\}(\beta, \alpha)$  is total, and  $\beta$  is a branch of  $Tr$ , then  $\beta \leq_T \lambda\alpha\{n\}(\beta, \alpha)$ .*

**Proof.** Define recursively from  $\lambda\alpha\{n\}(\beta, \alpha)$  a sequence of strings  $\sigma_0, \sigma_1, \dots$  such that  $\sigma_{j+1}$  extends  $\sigma_j$ ,  $lh(\sigma_j) = j$ , and  $\beta$  extends  $Tr(\sigma_j)$  for all  $j$ . To start out let  $\sigma_0$  be the empty string. Assum-

ing that  $\sigma_j$  is determined with the desired properties, try to define  $\sigma_{j+1}$ . Look for a sequence number  $k$  such that  $\{n\}(\text{Tr}(\sigma_j^{(0)}), \zeta_k)$  and  $\{n\}(\text{Tr}(\sigma_j^{(1)}), \zeta_k)$  are defined and different by means of a recursive search. Since  $\text{Tr}$  is  $n$ -splitting, there is an  $\alpha$  with  $\{n\}(\text{Tr}(\sigma_j^{(0)}), \alpha)$  and  $\{n\}(\text{Tr}(\sigma_j^{(1)}), \alpha)$  defined and different. But both computations use only some finite initial segment of  $\alpha$ . So the above search will terminate. Since  $\beta$  is a branch of  $\text{Tr}$ ,  $\beta$  extends either  $\text{Tr}(\sigma_j^{(0)})$  or  $\text{Tr}(\sigma_j^{(1)})$ . Thus either  $\{n\}(\text{Tr}(\sigma_j^{(0)}), \zeta_k)$  or  $\{n\}(\text{Tr}(\sigma_j^{(1)}), \zeta_k)$  is equal to  $\{n\}(\beta, \zeta_k)$ . Let  $\sigma_{j+1}$  be  $\sigma_j^{(0)}$  if  $\{n\}(\text{Tr}(\sigma_j^{(0)}), \zeta_k) = \{n\}(\beta, \zeta_k)$ ; otherwise let  $\sigma_{j+1}$  be  $\sigma_j^{(1)}$ . By the inductive nature of the construction and the definition of a tree, we know that  $\beta$  extends  $\text{Tr}(\sigma_j)$  and  $lh(\text{Tr}(\sigma_j)) \geq j$ ; hence  $\beta(j) = \text{Tr}(\sigma_{j+1})(j)$ . Consequently,  $\beta \leq_T \lambda\alpha\{n\}(\beta, \alpha)$ .

**LEMMA 10.** *If  $\text{Tr}'$  is a tree and  $n$  is a given number, then  $\text{Tr}'$  has a recursive subtree  $\text{Tr}$  such that  $\text{Tr}$  is either  $n$ -constant or  $n$ -splitting.*

**Proof.** We have two cases.

**Case 1.**  $(\exists \sigma_0)(\forall \tau \text{ extending } \sigma_0)(\forall \rho \text{ extending } \sigma_0)(\forall k)(\neg(\{n\}(\text{Tr}'(\tau), \zeta_k) \text{ and } \{n\}(\text{Tr}'(\rho), \zeta_k) \text{ are defined and different.}))$ .

Let  $\text{Tr}$  be  $\text{Tr}(\tau) = \text{Tr}'(\sigma_0 * \tau)$  for such a fixed  $\sigma_0$ , where  $*$  is the recursive concatenation operation on strings.  $\text{Tr}$  is  $n$ -constant. Assuming otherwise,  $(\exists \alpha)(\exists \tau)(\exists \rho)(\{n\}(\text{Tr}(\tau), \alpha)$  and  $\{n\}(\text{Tr}(\rho), \alpha)$  are defined and different.). Trim  $\alpha$  down to some  $\zeta_k$  such that  $\{n\}(\text{Tr}(\tau), \alpha) = \{n\}(\text{Tr}(\tau), \zeta_k)$  and  $\{n\}(\text{Tr}(\rho), \alpha) = \{n\}(\text{Tr}(\rho), \zeta_k)$ . But  $\text{Tr}(\tau) = \text{Tr}'(\sigma_0 * \tau)$  and  $\text{Tr}(\rho) = \text{Tr}'(\sigma_0 * \rho)$ . By our case assumption  $\{n\}(\text{Tr}'(\sigma_0 * \tau), \zeta_k) = \{n\}(\text{Tr}'(\sigma_0 * \rho), \zeta_k)$ , a contradiction.

**Case 2.**  $(\forall \sigma_0)(\exists \tau \text{ extending } \sigma_0)(\exists \rho \text{ extending } \sigma_0)(\exists k)(\{n\}(\text{Tr}'(\tau), \zeta_k) \text{ and } \{n\}(\text{Tr}'(\rho), \zeta_k) \text{ are defined and different.}))$ .

Define  $\text{Tr}(\sigma)$  by induction on  $lh(\sigma)$ . Let  $\text{Tr}(0) = \text{Tr}'(0)$ . Assume that  $\text{Tr}(\sigma)$  is defined and in the range of  $\text{Tr}'$  for  $lh(\sigma) \leq j$ . Given any  $\sigma$  with length  $j$ , we want to define  $\text{Tr}(\sigma^{(0)})$  and  $\text{Tr}(\sigma^{(1)})$ . Recursively find  $\tau$ ,  $\rho$ , and  $k$  so that  $\{n\}(\text{Tr}'(\tau), \zeta_k)$  and  $\{n\}(\text{Tr}'(\rho), \zeta_k)$  are defined and different, and  $\tau$  and  $\rho$  extend  $\sigma$ . Let  $\text{Tr}(\sigma^{(0)}) = \text{Tr}'(\tau)$  and  $\text{Tr}(\sigma^{(1)}) = \text{Tr}'(\rho)$ . Now  $\text{Tr}(\sigma) = \text{Tr}'(\sigma_0)$



for some  $\sigma_0$ . So  $\text{Tr}(\sigma^{(0)})$  and  $\text{Tr}(\sigma^{(1)})$  are incompatible extensions of  $\text{Tr}'(\sigma_0) = \text{Tr}(\sigma)$  and  $\text{Tr}$  is a recursive subtree of  $\text{Tr}'$ .  $\text{Tr}$  is  $n$ -splitting by the construction.

REMARK. The case assumptions can be decided from  $0''$ , the double jump of the recursive degree  $0$ .

THEOREM 11. *There exists a type-1 degree  $m$  such that*

(i)  $0 < m < 0''$ ;

(ii)  $(\forall \text{ type-2 } \alpha)(\alpha < m \rightarrow \alpha = 0)$ .

**Proof.** We will construct a sequence of recursive trees  $\text{Tr}_0, \text{Tr}_1, \dots$  such that  $\text{Tr}_{j+1}$  is a subtree of  $\text{Tr}_j$  and every branch  $\beta$  of  $\text{Tr}_{j+1}$  satisfies the following condition  $C_j$ :

$$j = 2n, \quad C_{2n}: \quad \beta \neq \lambda x \{n\}(x);$$

$$j = 2n + 1, \quad C_{2n+1}: \quad \begin{aligned} &\lambda \alpha \{n\}(\beta, \alpha) \text{ is total} \rightarrow \\ &\lambda \alpha \{n\}(\beta, \alpha) \text{ is recursive or} \\ &\beta \leq_T \lambda \alpha \{n\}(\beta, \alpha). \end{aligned}$$

*Stage 0.* Let  $\text{Tr}_0(\sigma) = \sigma$  for all  $\sigma$ .

*Stage  $2n + 1$ .* Try to meet condition  $C_{2n}$ . Find  $k$  such that  $\text{Tr}_{2n}(0)(k)$  and  $\text{Tr}_{2n}(1)(k)$  are defined and different. Let

$$\text{Tr}_{2n+1}(\sigma) = \begin{cases} \text{Tr}_{2n}((0) * \sigma) & \text{if } \{n\}(k) \text{ is defined} \\ & \text{and } = \text{Tr}_{2n}(1)(k), \\ \text{Tr}_{2n}((1) * \sigma) & \text{otherwise.} \end{cases}$$

Thus if  $\beta$  is a branch of  $\text{Tr}_{2n+1}$ , then  $\beta(k)$  is not equal to  $\{n\}(k)$ .

*Stage  $2n + 2$ .* Let  $\text{Tr}_{2n+2}$  be a recursive subtree of  $\text{Tr}_{2n+1}$  such that  $\text{Tr}_{2n+2}$  is either  $n$ -splitting or  $n$ -constant. Such a  $\text{Tr}_{2n+2}$  exists by the previous lemma.

As in the ordinary minimal degree construction, there is a branch  $\beta$  belonging to all these trees  $\text{Tr}_0, \text{Tr}_1, \dots$ . Let  $m = \text{dg}(\beta)$ .

REMARK. The above theorem does show that there is a minimal element in the set of continuous degrees. However, the existential status of a minimal continuous degree which contains no type-1 objects is yet to be determined.

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