

ON SOME CLASSES OF CLOSE-TO-CONVEX FUNCTIONS AND ITS APPLICATIONS

BY

MILUTIN OBRADOVIĆ AND SHIGEYOSHI OWA (尾和重義)

Abstract. In this paper the new classes $G(\alpha)$ ($0 \leq \alpha < 1$) of functions $g(z) = 1 + b_1 z + b_2 z^2 + \dots$ which are close-to-convex in the unit disk $E = \{z : |z| < 1\}$ are introduced. For $\alpha = 1/2$, $G(1/2)$ is the class defined by M.S. Robertson ([5]). Among other results some interesting estimates of the differences of successive coefficients for starlike and convex functions of order α ($0 \leq \alpha < 1$), and for k -fold symmetric and starlike of order α ($0 \leq \alpha < 1$) are shown.

1. **Introduction.** Let A denote the class of functions $f(z)$ which are analytic in the unit disk $E = \{z : |z| < 1\}$ and normalized by $f(0) = 0$, $f'(0) = 1$.

A function $f(z)$ belonging to A is said to be starlike of order α if and only if

$$(1.1) \quad \operatorname{Re} \left\{ \frac{zf'(z)}{f(z)} \right\} > \alpha$$

for some α ($0 \leq \alpha < 1$), and for all $z \in E$. We denote by $S^*(\alpha)$ the class of all such starlike functions of order α .

Similarly, a function $f(z)$ belonging to A is said to be convex of order α if and only if

$$(1.2) \quad \operatorname{Re} \left\{ 1 + \frac{zf''(z)}{f'(z)} \right\} > \alpha$$

for some α ($0 \leq \alpha < 1$), and for all $z \in E$. Also we denote by $K(\alpha)$ the class of all functions in A which are convex of order α .

We note that $f(z) \in K(\alpha)$ if and only if $zf'(z) \in S^*(\alpha)$, and that $S^*(\alpha) \subseteq S^*(0) \equiv S^*$, $K(\alpha) \subseteq K(0) \equiv K$ and $K(\alpha) \subset S^*(\alpha)$ for $0 \leq \alpha < 1$.

The classes $\mathcal{S}^*(\alpha)$ and $K(\alpha)$ were introduced by Robertson [4].

Let $f(z)$ be analytic in the unit disk E and satisfy $f'(z) \neq 0$ for $z \in E$. Then $f(z)$ is said to be close-to-convex in the unit disk E if and only if there exists a function $\phi(z) \in K$ such that

$$(1.3) \quad \operatorname{Re} \left\{ \frac{f'(z)}{\phi'(z)} \right\} > 0 \quad (z \in E).$$

It is known that every close-to-convex function is univalent in the unit disk E and the class of close-to-convex functions contains the classes \mathcal{S}^* and K . The class of close-to-convex functions was introduced by Kaplan [2].

Let $G(\alpha)$ be the class of functions of the form

$$(1.4) \quad g(z) = 1 + b_1 z + b_2 z^2 + \dots$$

which are analytic and non-vanishing in E , and such that

$$(1.5) \quad \operatorname{Re} \left\{ \frac{zg'(z)}{g(z)} + (1 - \alpha) \frac{1 + z}{1 - z} \right\} > 0$$

for some α ($0 \leq \alpha < 1$), and all $z \in E$.

For $\alpha = 1/2$ we have the class $G(1/2)$ which was introduced by Robertson [5]. In [5] Robertson has proved that $G(1/2)$ is contained in the class of close-to-convex functions in E , and that if $g(z) \in G(1/2)$ (where $g(z)$ is not a constant) then $g(1) = 0$ and $g(z)$ maps $E = \{z : |z| < 1\}$ onto a domain that is starlike with respect to $g(1)$. Robertson [5] also gave many interesting coefficient inequalities for various classes of univalent functions.

2. On the classes $G(\alpha)$ and coefficient inequalities. We begin with some simple characteristics of the classes $G(\alpha)$ and their relations among themselves and to other classes.

THEOREM 1. *Let $g(z)$ be analytic in the unit disk E with $g(0) = 1$. Then $g(z)$ is in the class $G(\alpha)$ ($0 \leq \alpha < 1$) if and only if there exists a function $f(z)$ belonging to $\mathcal{S}^*(\alpha)$ such that*

$$(2.1) \quad g(z) = (1 - z)^{2(1-\alpha)} \frac{f(z)}{z}.$$

Proof. Let $g(z)$ satisfy (2.1) for $f(z) \in \mathcal{S}^*(\alpha)$. Then $g(z)$ is analytic and non-vanishing in E , and, after logarithmic differentiation of (2.1), we have

$$(2.2) \quad \operatorname{Re} \left\{ \frac{zg'(z)}{g(z)} + (1-\alpha) \frac{1+z}{1-z} \right\} = \operatorname{Re} \left\{ \frac{zf'(z)}{f(z)} - \alpha \right\} > 0$$

for $z \in E$. Then, with the definition of $G(\alpha)$, we prove that $g(z)$ belongs to the class $G(\alpha)$.

Conversely, if $g(z) \in G(\alpha)$ and $f(z) = zg(z)/(1-z)^{2(1-\alpha)}$, then $f(0) = 0$, $f'(0) = 1$, and by (2.2) $f(z) \in \mathcal{S}^*(\alpha)$. This completes the proof of Theorem 1.

THEOREM 2. *Let $0 \leq \alpha_1 \leq \alpha_2 < 1$. Then*

$$(2.3) \quad G(\alpha_2) \subseteq G(\alpha_1).$$

Proof. Let $g(z)$ be in the class $G(\alpha_2)$. Then we have

$$\begin{aligned} & \operatorname{Re} \left\{ \frac{zg'(z)}{g(z)} + (1-\alpha_1) \frac{1+z}{1-z} \right\} \\ &= \operatorname{Re} \left\{ \frac{zg'(z)}{g(z)} + (1-\alpha_2) \frac{1+z}{1-z} \right\} \\ & \quad + (\alpha_2 + \alpha_1) \operatorname{Re} \left\{ \frac{1+z}{1-z} \right\} \\ & > 0 \end{aligned}$$

for $z \in E$, since $\operatorname{Re} \{(1+z)/(1-z)\} > 0$ for $z \in E$. Hence $g(z) \in G(\alpha_1)$, which implies the assertion of the theorem.

THEOREM 3. *Let $g(z)$ be in the class $G(\alpha)$ ($0 \leq \alpha < 1$). Then either $g(z)$ is close-to-convex in E or $g(z)$ is the constant 1.*

Proof. In view of Theorem 2, we know that

$$(2.4) \quad G(\alpha) \subseteq G(0) \quad (0 \leq \alpha < 1),$$

where $G(0)$ is the class of functions of the form

$$(2.5) \quad g(z) = (1-z)^2 \frac{f(z)}{z},$$

where $f(z) \in \mathcal{S}^*(0) \equiv \mathcal{S}^*$. But, in the proof of Theorem 8 in [5], Robertson has proved that $g(z)$ given by (2.5) is close-to-convex in

E or $g(z)$ is the constant 1. The proof of Theorem 3 follows from the relation (2.4).

REMARK. From Theorem 2 we have that

$$G(\alpha) \subseteq G(\tfrac{1}{2}) \quad (\tfrac{1}{2} \leq \alpha < 1),$$

and from there if $g(z) \in G(\alpha)$ ($\tfrac{1}{2} \leq \alpha < 1$), and if $g(z)$ is not a constant, then $g(z)$ is starlike with respect to a boundary point (see [5]).

In the next theorems we use the following results.

LEMMA 1. (Reade [3]), *Let $g(z) = \sum_{n=0}^{\infty} b_n z^n$ be a close-to-convex function in E . Then*

$$(2.6) \quad |b_n| \leq n|b_1| \quad (n = 2, 3, 4, \dots).$$

LEMMA 2. *For real β and non-negative k we have*

$$(i) \quad \binom{-\beta}{k} = (-1)^k \binom{\beta + k - 1}{k},$$

$$(ii) \quad \binom{k + \beta}{k} = \frac{1 + \beta}{k} \binom{k + \beta}{k - 1} \quad (k \geq 1),$$

$$(iii) \quad \sum_{k=0}^n \binom{k + \beta}{k} = \binom{n + 1 + \beta}{n}.$$

Applying the above lemmas, we prove.

THEOREM 4. *Let the function $f(z)$ defined by*

$$f(z) = z + \sum_{n=2}^{\infty} a_n z^n$$

belong to the class $S^(\alpha)$ ($0 \leq \alpha < 1$). Then*

$$(2.7) \quad |a_{n+1} - a_n| \leq \left| \binom{n - 2\alpha}{n} \right| + \left\{ n - n \operatorname{sgn}(1 - 2\alpha) \left(\binom{n - 2\alpha}{n - 1} - 1 \right) - |1 - 2\alpha| \binom{n - 2\alpha}{n - 2} \right\} |a_2 - 2(1 - \alpha)|,$$

where $n \geq 2$ and $\operatorname{sgn} x$ denotes the signum function.

Proof. From Theorem 1 and Theorem 3, we have that the function $g(z)$ given by (2.1) is close-to-convex in E for $f(z) \in S^*(\alpha)$ ($0 \leq \alpha < 1$). From there we have

$$(1-z) \frac{f(z)}{z} = (1-z)^{2\alpha-1} g(z),$$

and if put $g(z) = 1 + b_1 z + b_2 z^2 + \dots$ we obtain

$$(2.8) \quad \begin{aligned} (1-z) \left(1 + \sum_{n=2}^{\infty} a_n z^{n-1} \right) \\ = \left(1 + \sum_{n=1}^{\infty} (-1)^n \binom{2\alpha-1}{n} z^n \right) \left(1 + \sum_{n=1}^{\infty} b_n z^n \right). \end{aligned}$$

Comparing the coefficients of both sides in (2.8), and using (i) of Lemma 2, we see that

$$(2.9) \quad \begin{aligned} a_{n+1} - a_n &= \sum_{k=0}^n (-1)^k \binom{2\alpha-1}{k} b_{n-k} \\ &= \sum_{k=0}^n \binom{k-2\alpha}{k} b_{n-k}, \end{aligned}$$

where $b_1 = a_2 - 2(1-\alpha)$, $b_0 = 1$. With the help of Lemma 2, it is easily proved that

$$(2.10) \quad \begin{aligned} \left| \binom{k-2\alpha}{k} \right| &= \frac{|1-2\alpha|}{k} \binom{k-2\alpha}{k} \\ &= \operatorname{sgn}(1-2\alpha) \binom{k-2\alpha}{k} \quad (k \geq 1). \end{aligned}$$

Using Lemma 1, (2.10), and (iii) of Lemma 2, (2.9) gives

$$\begin{aligned} |a_{n+1} - a_n| &\leq \sum_{k=0}^n \left| \binom{k-2\alpha}{k} \right| |b_{n-k}| \\ &\leq \left| \binom{n-2\alpha}{n} \right| + \sum_{k=0}^{n-1} (n-k) \left| \binom{k-2\alpha}{k} \right| |b_1| \\ &= \left| \binom{n-2\alpha}{n} \right| + \left\{ n + n \operatorname{sgn}(1-2\alpha) \sum_{k=1}^{n-1} \binom{k-2\alpha}{k} \right. \\ &\quad \left. - |1-2\alpha| \sum_{k=1}^{n-1} \binom{k-2\alpha}{k-1} \right\} |b_1| \\ &= \left| \binom{n-2\alpha}{n} \right| + \left\{ n + n \operatorname{sgn}(1-2\alpha) \left(\binom{n-2\alpha}{n-1} - 1 \right) \right. \\ &\quad \left. - |1-2\alpha| \binom{n-2\alpha}{n-2} \right\} |a_2 - 2(1-\alpha)|, \end{aligned}$$

which was to be proved.

Taking $\alpha = 0$ or $a = 1/2$ in Theorem 4, we have

COROLLARY 1. *If $f(z)$ belongs to the class $S^*(0)$, then*

$$(2.11) \quad |a_{n+1} - a_n| \leq 1 + \frac{n(n+1)}{2} |a_2 - 2| \quad (n \geq 2).$$

COROLLARY 2. *If $f(z)$ belongs to the class $S^*(1/2)$, then*

$$(2.12) \quad |a_{n+1} - a_n| \leq n|a_2 - 1| \quad (n \geq 2).$$

Corollary 2 is the earlier result by Robertson [5].

Next we derive

THEOREM 5. *Let the function $h(z)$ defined by*

$$h(z) = z + \sum_{n=2}^{\infty} c_n z^n$$

belongs to the class $K(\alpha)$ ($0 \leq \alpha < 1$). Then, for $n \geq 2$, we have

$$(2.13) \quad \begin{aligned} |c_{n+1} - c_n| \leq & \frac{1}{n(n+1)} \left| n \binom{-2(1-\alpha)}{n} \right. \\ & + (n+1) \binom{-2(1-\alpha)}{n-1} \Big| \\ & + \frac{2}{n(n+1)} \left\{ n^2 + \sum_{k=1}^{n-1} (n-k) \left| n \binom{-2(1-\alpha)}{k} \right| \right. \\ & \left. \left. + (n+1) \binom{-2(1-\alpha)}{k-1} \right| \right\} |c_2 - (1-\alpha)| \end{aligned}$$

where $|c_2| \leq 1 - \alpha$.

Proof. From the relation (2.1) we have that

$$(2.14) \quad \frac{f(z)}{z} = (1-z)^{-2(1-\alpha)} g(z).$$

If $f(z) = z + \sum_{n=2}^{\infty} a_n z^n \in S^*(\alpha)$ ($0 \leq \alpha < 1$) and $g(z)$ is given by (1.4), then from (2.14), similarly as in the proof of Theorem 4, we obtain that

$$(2.15) \quad a_{n+1} = \sum_{k=0}^n (-1)^k \binom{-2(1-\alpha)}{k} b_{n-k},$$

and from there

$$\begin{aligned}
 \left| \frac{a_{n+1}}{n+1} - \frac{a_n}{n} \right| &= \frac{1}{n(n+1)} |na_{n+1} - (n+1)a_n| \\
 &= \frac{1}{n(n+1)} \left| nb_n + \sum_{k=1}^{n-1} (-1)^k \left\{ n \binom{-2(1-\alpha)}{k} \right. \right. \\
 &\quad \left. \left. + (n+1) \binom{-2(1-\alpha)}{k-1} \right\} b_{n-k} \right. \\
 &\quad \left. + (-1)^n \left\{ n \binom{-2(1-\alpha)}{n} \right. \right. \\
 (2.16) \quad &\quad \left. \left. + (n+1) \binom{-2(1-\alpha)}{n-1} \right\} \right| \\
 &\leq \frac{1}{n(n+1)} \left| n \binom{-2(1-\alpha)}{n} \right. \\
 &\quad \left. + (n+1) \binom{-2(1-\alpha)}{n-1} \right| \\
 &\quad + \frac{1}{n(n+1)} \left\{ n^2 + \sum_{k=1}^{n-1} (n-k) \left| n \binom{-2(1-\alpha)}{k} \right. \right. \\
 &\quad \left. \left. + (n+1) \binom{-2(1-\alpha)}{k-1} \right| \right\} |a_2 - 2(1-\alpha)|.
 \end{aligned}$$

By using the fact that $h(z) \in K(\alpha)$ if and only if $f(z) = zh'(z) \in \mathcal{S}^*(\alpha)$, we have that $a_n = nc_n$ ($n \geq 2$), and that $|c_2| \leq 1 - \alpha$ by [4]. Now, the proof of Theorem 5 is derived from previous estimates (2.14) for $f(z) \in \mathcal{S}^*(\alpha)$.

Letting $\alpha = 0$, Theorem 5 derives

COROLLARY 3. *Let the function $h(z)$ defined in Theorem 5 be in the class K . Then we have*

$$|c_{n+1} - c_n| \leq \frac{2n+1}{3} |1 - c_2| \quad (n \geq 2),$$

which is the former result by Robertson [5].

Further, taking $\alpha = 1/2$ in Theorem 5, we have

COROLLARY 4. *Let the function $h(z)$ defined in Theorem 5 be in the class $K(\frac{1}{2})$. Then*

$$|c_{n+1} - c_n| \leq \frac{1}{n(n+1)} + \frac{3n-1}{2(n+1)} |2c_2 - 1| \quad (n \geq 2).$$

Schild [6] has proved that for $f(z) = z + \sum_{n=2}^{\infty} a_n z^n \in \mathcal{S}^*(\alpha)$ ($0 \leq \alpha < 1$) the following inequality

$$(2.17) \quad |a_n| \leq \binom{n-2\alpha}{n-1} \quad (n \geq 2)$$

is valid. In the next theorem we give the estimate for difference $a_n - \binom{n-2\alpha}{n-1}$ depending on the difference $a_2 - 2(1-\alpha)$.

THEOREM 6. *Let $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$ belong to the class $S^*(\alpha)$ ($0 \leq \alpha < 1$). Then*

$$(2.18) \quad \left| a_n - \binom{n-2\alpha}{n-1} \right| \leq \left\{ (n-1) \binom{n-2\alpha}{n-2} - 2(1-\alpha) \binom{n-2\alpha}{n-3} \right\} |a_2 - 2(1-\alpha)|$$

for $n \geq 3$.

Proof. From the relation (2.15), we know that

$$(2.19) \quad \begin{aligned} a_n &= \sum_{k=0}^{n-1} (-1)^k \binom{-2(1-\alpha)}{k} b_{n-1-k} \\ &= \sum_{k=0}^{n-1} \binom{k+1-2\alpha}{k} b_{n-1-k} \\ &= \binom{n-2\alpha}{n-1} + \sum_{k=0}^{n-2} \binom{k+1-2\alpha}{k} b_{n-1-k}. \end{aligned}$$

Applying Lemma 1 and Lemma 2, (2.19) shows that

$$\begin{aligned} \left| a_n - \binom{n-2\alpha}{n-1} \right| &\leq \sum_{k=0}^{n-2} (n-1-k) \binom{k+1-2\alpha}{k} |b_1| \\ &= \left\{ (n-1) \sum_{k=0}^{n-2} \binom{k+1-2\alpha}{k} \right. \\ &\quad \left. - 2(1-\alpha) \sum_{k=1}^{n-2} \binom{k+1-2\alpha}{k-1} \right\} |b_1| \\ &= \left\{ (n-1) \binom{n-2\alpha}{n-2} \right. \\ &\quad \left. - 2(1-\alpha) \binom{n-2\alpha}{n-3} \right\} |a_2 - 2(1-\alpha)|. \end{aligned}$$

Taking $\alpha = 0$, Theorem 6 gives

COROLLARY 5. *Let the function $f(z)$ defined in Theorem 6 be in the class $S^*(0)$. Then*

$$|a_n - n| \leq \frac{n(n^2 - 1)}{6} |a_2 - 2| \quad (n \geq 3),$$

which is the former result by Hummel [1].

Letting $\alpha = \frac{1}{2}$ in Theorem 6, we have

COROLLARY 6. *Let the function $f(z)$ defined in Theorem 6 be in the class $S^*(\frac{1}{2})$. Then*

$$|a_n - 1| \leq \frac{n(n-1)}{2} |a_2 - 1| \quad (n \geq 3).$$

3. Class of k -fold symmetric and starlike functions of order α . A function $f(z)$ belonging to A is said to be k -fold symmetric if it satisfies $f(e^{2\pi i/k} z) = e^{2\pi i/k} f(z)$ for $k = 2, 3, \dots$. In particular, every odd function $f(z)$ is 2-fold symmetric. A simple argument shows that $f(z)$ which is k -fold symmetric is characterised by having a power series of the form

$$(3.1) \quad f(z) = z + \sum_{n=1}^{\infty} a_{nk+1} z^{nk+1} \quad (z \in E).$$

We denote by $S_k^*(\alpha)$ ($0 \leq \alpha < 1$; $k = 2, 3, \dots$) the class of functions which are k -fold symmetric and starlike of order α in the unit disk E .

THEOREM 7. *Let the function $f(z)$ defined by (3.1) be in the class $S_k^*(\alpha)$ ($0 \leq \alpha < 1$; $k = 2, 3, \dots$). Then*

$$(3.2) \quad \begin{aligned} & |a_{nk+1} - a_{(n-1)k+1}| \\ & \leq \left| \binom{n-2\beta}{n} \right| + \left\{ n \binom{n-2\beta}{n-1} - (1-2\beta) \binom{n-2\beta}{n-2} \right\} \\ & \quad \cdot |a_{k+1} - 2(1-\beta)|, \end{aligned}$$

where $\beta = 1 - (1 - \alpha)/k$ and $n = 2, 3, \dots$.

Proof. For $f(z)$ belonging to the class $S_k^*(\alpha)$, define the function $g(z)$ by

$$(3.3) \quad g(z) = z^{1-1/k} f(z^{1/k}).$$

Then we have

$$(3.4) \quad \begin{aligned} g(z) &= z + a_{k+1}z^2 + a_{2k+1}z^3 + \dots \\ &= z + b_2z^2 + b_3z^3 + \dots, \end{aligned}$$

where

$$(3.5) \quad b_n = a_{(n-1)k+1} \quad (n \geq 2).$$

Also we have that

$$\begin{aligned} \operatorname{Re} \left\{ \frac{zg'(z)}{g(z)} \right\} &= \operatorname{Re} \left\{ 1 - \frac{1}{k} + \frac{1}{k} \frac{z^{1/k} f'(z^{1/k})}{f(z^{1/k})} \right\} \\ &> 1 - \frac{1}{k} + \frac{\alpha}{k} \\ &= \beta, \end{aligned}$$

that is, that $g(z) \in S^*(\beta)$. Because of that, from Theorem 4 and the fact that $\frac{1}{2} \leq \beta < 1$, we get

$$(3.7) \quad \begin{aligned} |b_{n+1} - b_n| &\leq \left| \binom{n-2\beta}{n} \right| + \left\{ n \binom{n-2\beta}{n-1} \right. \\ &\quad \left. + (1-2\beta) \binom{n-2\beta}{n-2} \right\} |b_2 - 2(1-\beta)| \end{aligned}$$

for $n \geq 2$. Now, the result of Theorem 7 follows from (3.7) and (3.5).

For $k=2$, that is, for odd functions in E , and for $\alpha=0$ or $\alpha=\frac{1}{2}$, from Theorem 7 we have the following results.

COROLLARY 7. *Let $f(z) = z + a_3z^3 + \dots + a_{2n+1}z^{2n+1} + \dots$ be in the class $S^*(0)$. Then*

$$|a_{2n+1} - a_{2n-1}| \leq n|a_3 - 1| \quad (n \geq 2).$$

Corollary 7 is the former result due to Robertson [5].

COROLLARY 8. *Let the function $f(z)$ defined in Corollary 7 be in the class $S^*(\frac{1}{2})$. Then*

$$\begin{aligned} &|a_{2n+1} - a_{2n-1}| \\ &\leq \frac{1}{2^n} \frac{((2n-3)!)!}{n!} \left\{ 1 + 2n \left| a_3 - \frac{3}{4} \right| \right\} \quad (n \geq 3). \end{aligned}$$

Acknowledgement. This research of the authors was completed at Department of Mathematics, Faculty of Technology and

Metallurgy, 4 Karnegieva Street, 11000 Belgrade, Yugoslavia while the second author was on study leave from Kinki University, Higashi-Osaka, Osaka 577, Japan.

REFERENCES

1. J. A. Hummel, *The coefficients of starlike functions*, Proc. Amer. Math. Soc., 22 (1969), 311-315.
2. W. Kaplan, *Close-to-convex schlicht functions*, Michigan Math. J., 1 (1952), 169-185.
3. M. O. Reade, *On close-to-convex univalent functions*, Michigan Math. J., 3 (1955), 59-62.
4. M. S. Robertson, *On the theory of univalent functions*, Ann. of Math., 37 (1936), 379-408.
5. _____, *Univalent functions starlike with respect to a boundary point*, J. Math. Anal. Appl., 81 (1981), 327-345.
6. A. Schild, *On starlike functions of order α* , Amer. J. Math., 87 (1965), 65-70.

Department of Mathematics
Faculty of Technology and Metallurgy
University of Belgrade
4 Karnegieva Street
11000 Belgrade
YUGOSLAVIA

Department of Mathematics
Kinki University
Higashi-Osaka, Osaka 577
JAPAN